

#61 Reg for  
Refund

PATENT APPLICATION  
Attorney Do. No. 5038-118

03-03-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section  
Receipts Division  
Office of Finance  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR REFUND

The October 31, 2001 Monthly Statement of Deposit Account No. 13-1703 reflects a charge of \$420 on October 22, 2001, under Control No. 30, for Patent Application No. 09/981,620, with a 103 Fee Code for excess claims. A copy of the Statement is attached as Exhibit A.

A copy of a credit card transaction report is attached (Exhibit B) showing the following charges:

Date	Amount	Fee Code
10/22/01	\$740.00	101
10/22/01	\$336.00	102
10/22/01	\$40.00	581
10/22/01	\$420.00	103
10/22/01	\$390.00	103
10/22/01	\$40.00	101
10/22/01	\$40.00	102
10/22/01	\$40.00	581
10/22/01	\$40.00	103
10/22/01	\$40.00	103
TOTAL	\$2126.00	
EXCESS CHARGED	\$452.00	

A copy of our PTO-2038 (Exhibit C) shows that we authorized the filing fee for this application to be charged to our credit card. The correct filing fee is \$1,634.00 (\$740 filing fee, \$558 excess claims and \$336 excess independent claims). Additionally, a charge of \$40 should have been applied for the assignment recordation fee (totaling \$1,674.00, rather than the \$2126.00 that was charged).

Applicant requests a refund of \$420 be credited to Deposit Account No. 13-1703. Applicant additionally requests that a refund of \$452 be credited to the credit card in question.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



\_\_\_\_\_  
Alan T. McCollom

Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613



20575

PATENT TRADEMARK OFFICE

> should be \$290? KW

\$370 credited back on 11/29/01



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

JLR

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Washington, D.C. 20231

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Account No.
131703
Date
10-31-01
Page
1

MARGER AND JOHNSON INC

FINA

1030 SW MORRISON STREET  
PORTLAND OR 97205

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	1	01	1	09591809	4591-102	102	80.00	2771.00
10	3	01	1	09525154	9898-148	102	80.00	2691.00
10	3	01	2	09525154	9898-148	103	108.00	2583.00
10	3	01	4	09543595	4591-144	116	390.00	2193.00
10	3	01	38	09966994	3984-5	204	KMR 81.00	2112.00
10	3	01	188	09136798	9898-102	102	8.00	2104.00
10	4	01	1	09240208	3561-38	120	ATM 310.00	1794.00
10	4	01	2	09240208	3561-38	116	390.00	1404.00
10	4	01	32	09620018	4591-123	142	1240.00	164.00
10	4	01	202	09545691	1482-132	117	ATM) JTM 34.00	130.00
10	5	01	10	E-REPLENISHMENT	701		-5000.00	5130.00
10	5	01	154	09871241	8514-50	205	ACT 15.00	5115.00
10	9	01	101	476576	566		15.00	5100.00
10	9	01	187	477234	567		30.00	5070.00
10	9	01	340	477408	567		150.00	4920.00
10	9	01	364	477433	567		30.00	4890.00
10	9	01	365	477434	567		30.00	4860.00
10	9	01	367	477437	567		60.00	4800.00
10	10	01	17	09935002	5484-92	102	-320.00	5120.00
10	10	01	18	09935002	5484-92	103	72.00	5048.00
10	10	01	29	09887534	5707-118	102	JSM 34.00	5014.00
10	10	01	42	09882816	4864-2	205	SAS 15.00	4999.00
10	10	01	82	09859737	4430-69	215	JSM 15.00	4984.00
10	10	01	96	09968479	MJM3820-2	204	KMR 5.00	4979.00
10	10	01	133	29143229	5869-10	106	SSP 10.00	4969.00
10	10	01	205	09458506	5484-53	117	30.00	4939.00
10	11	01	16	09837885	1941-76	202	JCS 2.00	4937.00
10	15	01	2	41073-74 09773399	HP10970454-2	148	SSF-HSL 110.00	4827.00
10	15	01	9	09898699	9898-176	122	130.00	4697.00
10	15	01	68	09882489	9898-159	103	38.00	4659.00
10	16	01	1	09107187	2705-36	115	SSF 110.00	4549.00
10	16	01	7	09898699	9898-176	122	-130.00	4679.00
10	16	01	9	29143229	5869-10	106	SSF -10.00	4689.00
10	16	01	13	29143229	5869-10	105	SSF 10.00	4679.00
10	16	01	20	09878151	5869-9	101	SSF 22.00	4657.00
10	16	01	47	60328756	7293-32	114	G.G.C. 10.00	4647.00

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
Ex	A		
Page	1 of 2		

\*\* \*\* INDICATES OVERDRAWN



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**MONTHLY STATEMENT  
 OF DEPOSIT ACCOUNT**

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Account No.	131703
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Page	2

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FINA

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**Chicago, Ill. 60673**

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	16	01	174	480238		567	GTK ?	4557.00
10	17	01	130	09898699	9898-176	105	30.00	4527.00
10	18	01	4	09919575	5034-11	105	ATM/JTM 42.00	4485.00
10	18	01	9	09955420	9898-214	101	710.00	3775.00
10	19	01	22	09952645	4591-215	101	710.00	3065.00
10	19	01	23	09952645	4591-215	103	144.00	2921.00
10	19	01	24	09952645	4591-215	102	160.00	2761.00
10	22	01	7	09955388	9898-189	101	710.00	2051.00
10	22	01	29	09978497	9898-199	581	40.00	2011.00
10	22	01	30	09981620	5036-1576SEY	103	420.00	1591.00
10	22	01	30	09978497	9898-199	101	740.00	851.00
10	22	01	31	09978497	9898-199	102	420.00	431.00
10	22	01	32	09978497	9898-199	103	72.00	359.00
10	23	01	188	483625		567	GTK ? 30.00	329.00
10	26	01	200	485713		567	120.00	209.00
10	30	01	5	E-REPLENISHMENT		701	-5000.00	5209.00

Ex A  
 Page 2 of 2

AN AMOUNT SUFFICIENT TO  
 COVER ALL SERVICES REQUESTED  
 MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE 2851.00	TOTAL CHARGES 8102.00	TOTAL CREDITS 10460.00	CLOSING BALANCE 5209.00
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\*\*\* O.D. INDICATES OVERDRAWN

**U.S. PATENT AND TRADEMARK OFFICE  
REVENUE ACCOUNTING AND MANAGEMENT SYSTEM**

**CREDIT CARD TRANSACTIONS**

Card Number: **...7171** Start Accounting Date: **10/15/2001** End Accounting Date: **10/31/2001** Date/Time of Report: Thu Dec 6 12:23:44 2001

**Credit Card Sales**

Accounting Date	Create Date	Amount	Fee Code	Name/Number	Attorney Docket Number
10/19/2001	10/19/2001	\$740.00	101	09978445	5038-141
10/19/2001	10/19/2001	\$108.00	103	09978445	5038-141
10/19/2001	10/18/2001	\$40.00	581	09978445	5038-141
10/22/2001	10/22/2001	\$740.00	101	09981620	VESEY-1
10/22/2001	10/22/2001	\$336.00	102	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	581	09981620	VESEY-1
10/22/2001	10/22/2001	\$420.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$390.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	101	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	102	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	581	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	103	09981620	VESEY-1
10/22/2001	10/22/2001	\$40.00	103	09981620	VESEY-1

**Deposit Account Replenishments**

Accounting Date	Create Date	Amount	Name/Number	DA Number
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**No DA replenishments could be found.**

**Suspended Payments**

Accounting Sequence Date	Num	Amount	Customer Name	Reference Text	Comments
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**No suspended payments could be found.**

**Refunded Payments**

Accounting Date	Refund Num	Amount	Name/Number	Customer Name
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**No refunds could be found.**

Ex \_\_\_\_\_  
Page \_\_\_\_\_ of \_\_\_\_\_

PTO-2038 (02-2000)

Approved for use through 01/31/2003, OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**United States Patent & Trademark Office**  
**Credit Card Payment Form**  
**Please Read Instructions before Completing this Form**

**Credit Card Information**

<b>Credit Card Type:</b>	<input checked="" type="checkbox"/> Visa	<input type="checkbox"/> MasterCard	<input type="checkbox"/> American Express	<input type="checkbox"/> Discover
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<b>Credit Card Account #:</b>	4833 4960 0581 7171
-------------------------------	---------------------

<b>Credit Card Expiration Date:</b>	04/02
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<b>Name as It Appears on Credit Card:</b>	Julie Reed
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<b>Payment Amount: \$(US Dollars):</b>	\$1,634 + \$40 = \$1,674
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<b>Signature:</b>	Julie L. Reed	<b>Date:</b>	10/16/01
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**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.

**Service Charge:** There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).

**Credit Card Billing Address**

<b>Street Address 1:</b>	Marger Johnson & McCollum, P.C.
--------------------------	---------------------------------

<b>Street Address 2:</b>	1030 SW Morrison Street
--------------------------	-------------------------

<b>City:</b>	Portland
--------------	----------

<b>State:</b>	OR	<b>Zip/Postal Code:</b>	97205
---------------	----	-------------------------	-------

<b>Country:</b>	USA
-----------------	-----

<b>Daytime Phone #:</b>	(503) 222-3613	<b>Fax #:</b>	(503) 274-4622
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**Request and Payment Information****Description of Request and Payment Information:**

Patent Application Filing Fee

Assignment Recordal Fee \$40

Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No.	Application No.	Serial No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No.		Identify or Describe Mark	
5038-118			

PATENT APPLICATION  
Attorney Do. No. 5038-118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section  
Receipts Division  
Office of Finance  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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OCT 16 2001  
6:15 PM - 5 PM

**TRANSMITTAL LETTER**

Enclosed for filing in the above-referenced application are the following:

- Request for Refund  
 Return Postcard

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

  
\_\_\_\_\_  
Alan T. McCollom

Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613



20575

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20231

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DRIVE ARLINGTON, VA 22202-3513

ON 21/10/02  
Christina Lawton